

Sheet 1 of 6

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. PD-N970636A	APPLICATION NO. Divisional of 09/233,843			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			APPLICANTS DOUGLAS M. DILLON, ET AL.				
Date Submitted to PTO: December 7, 2001			FILING DATE December 7, 2001	GROUP 2153			
U.S. PATENT DOCUMENTS							
*EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.T.		5,534,913	07/09/96	MAJETI, ET AL.	348	7	—
		5,659,350	08/19/97	HENDRICKS, ET AL.	348	6	—
		6,160,797	12/12/00	ROBERT, III ET AL.	370	316	—
		4,018,993	04/19/77	EDSTRÖM	370	316	—
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
N.T.		62-189823	08/19/87	JAPAN	—	—	ABSTRACT
		5-252165	09/28/93	JAPAN	—	—	ABSTRACT
		55-120249	09/16/80	JAPAN	—	—	ABSTRACT
		56-2765	01/13/81	JAPAN	—	—	ABSTRACT
		9-506226	06/17/97	JAPAN	—	—	ABSTRACT
		5-167565	07/02/93	JAPAN	—	—	ABSTRACT
		59-135948	08/04/84	JAPAN	—	—	ABSTRACT
		4-306934	10/29/92	JAPAN	—	—	ABSTRACT
		6-252896	09/09/94	JAPAN	—	—	ABSTRACT
		5-252085	09/28/93	JAPAN	—	—	ABSTRACT
		61-210745	09/18/86	JAPAN	—	—	ABSTRACT
		5-252087	09/28/93	JAPAN	—	—	ABSTRACT
		63-194426	08/11/88	JAPAN	—	—	ABSTRACT
		60-167533	08/30/85	JAPAN	—	—	ABSTRACT
EXAMINER Nghi Tran				DATE CONSIDERED 06-08-05			

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			APPLICANTS DOUGLAS M. DILLON, ET AL.				
			FILING DATE D c m b r 7, 2001	GROUP 2153			
Date Submitted to PTO: December 7, 2001							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.T.		4,663,743	05/05/87	RAMPURIA, ET AL.	367	68	—
		4,686,698	08/11/87	TOMPKINS, ET AL.	379	53	—
		4,724,520	02/09/88	ATHANAS, ET AL.	364	200	—
		4,775,974	10/04/88	KOBAYASHI	370	104	—
		4,793,813	12/27/88	BITZER, ET AL.	434	335	—
		4,847,892	07/11/89	SHELLEY	379	92	—
		4,933,936	06/12/90	RASMUSSEN, ET AL.	370	85.9	—
		5,101,478	03/31/92	FU, ET AL.	395	275	—
		5,157,662	10/20/92	TADAMURA, ET AL.	370	110.1	—
		5,193,151	03/09/93	JAIN	395	200	—
		5,257,369	10/26/93	SKEEN, ET AL.	395	650	—
		5,303,042	04/12/94	LEWIS, ET AL.	348	14	—
		5,309,351	05/03/94	MCCAIN, ET AL.	364	132	—
		5,347,304	09/13/94	MOURA, ET AL.	348	12	—
		5,412,660	05/02/95	CHEN, ET AL.	370	110.1	—
		5,465,213	11/07/95	ROSS	364	468	—
		5,491,800	02/13/96	GOLDSMITH, ET AL.	395	200.12	—
		5,564,076	10/08/96	AUVRAY	455	76	—
		5,394,561	02/28/95	FREEBURG	455	13.1	—
		5,526,404	06/11/96	WIEDEMAN, ET AL.	379	60	—
		4,135,156	01/16/79	SANDERS, JR., ET AL.	325	4	—
EXAMINER	<i>Ngan Tran</i>			DATE CONSIDERED	06.08.05		

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.T.		4,599,647	07/08/86	GEORGE, ET AL.	358	122	—
		4,720,873	01/19/88	GOODMAN, ET AL.	455	2	—
		4,777,657	10/11/88	GILLASPIE	455	186	—
		4,829,569	05/09/89	SETH-SMITH, ET AL.	380	10	—
		5,019,910	05/28/91	FILMER	358	188	—
		5,029,207	07/02/91	GAMMIE	380	10	—
		5,058,138	10/15/91	FIGURA, ET AL.	375	88	—
		5,101,267	03/31/92	MORALES-GARZA	358	84	—
		5,223,923	06/29/93	MORALES-GARZA	358	84	—
		5,237,610	08/17/93	GAMMIE, ET AL.	380	10	—
		5,319,707	06/07/94	WASILEWSKI, ET AL.	380	14	—
		5,335,276	08/02/94	THOMPSON, ET AL.	380	21	—
		5,337,044	08/09/94	FOLGER, ET AL.	340	825.44	—
		5,359,367	10/25/94	STOCKILL	348	552	—
		5,387,994	02/07/95	MCCORMACK, ET AL.	359	159	—
		5,400,401	03/21/95	WASILEWSKI, ET AL.	380	9	—
		5,404,505	04/04/95	LEVINSON	395	600	—
		5,420,866	05/30/95	WASILEWSKI	370	110.1	—
		5,481,609	01/02/96	COHEN, ET AL.	380	16	—
		5,506,904	04/09/96	SHELDRICK, ET AL.	380	23	—
		5,594,782	01/14/97	ZICKER, ET AL.	379	63	—
EXAMINER	<i>N.T.</i>			DATE CONSIDERED	<i>06.08.05</i>		

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LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			APPLICANTS DOUGLAS M. DILLON, ET AL.				
Date Submitted to PTO: December 7, 2001			FILING DATE Dec 7, 2001	GROUP 2153			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N		4,358,672	11/09/82	HYATT, ET AL.	235	380	—
		4,538,073	08/27/85	FREIGE, ET AL.	307	33	—
		4,636,879	01/13/87	NARITA, ET AL.	360	72.2	—
		4,680,688	07/14/87	INOUE, ET AL.	363	21	—
		4,736,422	04/05/88	MASON	380	20	—
		4,768,228	08/30/88	CLUPPER, ET AL.	380	20	—
		4,802,215	01/31/89	MASON	380	21	—
		4,918,653	04/17/90	JOHRI, ET AL.	364	900	—
		4,940,963	07/10/90	GUTMAN, ET AL.	340	313	—
		4,959,872	09/25/90	IMAI, ET AL.	455	164	—
		5,038,265	08/06/91	PALADEL	363	65	—
		5,111,504	05/05/92	ESSERMAN, ET AL.	380	21	—
		5,131,010	07/14/92	DERRENGE, ET AL.	375	100	—
		5,245,429	09/14/93	VIRGINIO, ET AL.	358	142	—
		5,249,164	09/28/93	KOZ	358	21	—
		5,293,250	03/08/94	OKUMURA, ET AL.	358	402	—
		5,301,358	04/05/94	GASKILL, ET AL.	455	56.1	—
		5,319,705	06/07/94	HALTER, ET AL.	380	4	—
		5,319,712	06/07/94	FINKELSTEIN, ET AL.	380	44	—
		5,341,425	08/23/94	WASILEWSKI, ET AL.	380	20	—
		5,367,571	11/22/94	BOWEN, ET AL.	380	20	—
EXAMINER	Ngoi Tu			DATE CONSIDERED	06.08.05		

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			APPLICANTS		DOUGLAS M. DILLON, ET AL.		
			FILING DATE December 7, 2001		GROUP 2153		
Date Submitted to PTO: December 7, 2001							
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.T.		5,394,469	02/28/95	NAGEL, ET AL.	380	4	—
		5,404,583	04/04/95	LALEZARI, ET AL.	455	90	—
		5,452,357	09/19/95	NACCACHE	380	25	—
		5,483,466	01/09/96	KAWAHARA, ET AL.	364	514	—
		5,483,595	01/09/96	OWEN	380	23	—
		5,504,814	04/02/96	MIYAHARA	380	4	—
		5,532,914	07/02/96	KAGEYAMA, ET AL.	363	50	—
		5,548,753	08/20/96	LINSTEAD, ET AL.	395	600	—
		5,568,554	10/22/96	EASTLAKE, 3 RD	380	25	—
		5,590,200	12/31/96	NACHMAN, ET AL.	380	46	—
		5,592,173	01/07/97	LAU, ET AL.	342	357	—
		5,594,776	01/14/97	DENT	379	58	—
		5,652,795	07/29/97	DILLON, ET AL.	380	25	—
		5,634,190	05/27/97	WIEDEMAN	455	13.1	—
✓		5,627,528	05/06/97	KUZNICKI	340	825.44	—
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
N.T.		62-221228	09/29/87	JAPAN	—	—	YES
N.T.		0 483 547	05/06/92	EUROPE	—	—	—
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
N.T.		Brad Wood, "I Can't Get ISDN. Isn't There Another Way to Get Quick Access to the Web?", PC World, September 1996, pp. 264-265.					
EXAMINER	<i>N.T. T</i>			DATE CONSIDERED		06.08.05	

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			APPLICANTS DOUGLAS M. DILLON, ET AL.				
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			FILING DATE Dec 7, 2001	GROUP 2153			
Date Submitted to PTO: December 7, 2001							
U.S. PATENT DOCUMENTS							
*EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.T.		6,085,241	07/04/00	Otis	709	223	_____
✓		6,125,177	9/26/00	Whittaker	379	243	_____
		6,137,777	10/24/00	Vaid, et al.	370	230	_____
		5,953,506	09/14/99	Kalra, et al.	395	200.61	_____
		5,764,641	06/09/98	Lin	370	412	_____
		6,078,564	06/20/00	Lakshman, et al.	370	235	_____
		6,078,953	06/20/00	Vaid, et al.	709	223	_____
✓		6,016,388	01/18/00	Dillon	395	200.72	_____
		5,799,002	08/25/98	Krishnan	370	234	_____
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION: YES/NO/ OR ABSTRACT
N.T.		4-14811	03/16/92	JAPAN	_____	_____	Se CB I w
✓		61-70823	04/11/86	JAPAN	_____	_____	Se D Below
✓		63-107254	05/12/88	JAPAN	_____	_____	Se E Below
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
N.T.	A	Patent Abstracts of Japan, Abstract for JP 62-189823, August 19, 1987.					
	B	Patent Abstracts of Japan, Abstract for JP 5-252165, September 28, 1993.					
	C	Partial Translation of JP 4-14811, March 16, 1992.					
✓	D	Patent Abstracts of Japan, Abstract for JP-A-61-70823, April 11, 1986.					
✓	E	Partial Translation and Abstract of JP-A-63-107254.					
EXAMINER <i>N.T. Tew</i>			DATE CONSIDERED 06.08.05				

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FORM PTO 1449 (modified)		JAN 28 2002		ATTY DOCKET NO. PD-970636A	APPLICATION NO. 10/010,521		
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)					
Submitted: January 16, 2002		APPLICANT		DOUGLAS M. DILLON, ET AL.			
		FILING DATE	December 6, 2001		GROUP 2153		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS,							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
N.T.		WO 98/20511	5/14/1998	WIPO	—	—	—
I.U.T.		WO 98/26510	6/18/1998	WIPO	—	—	—
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER <i>Nghi Tran</i>		DATE CONSIDERED 06.08.05					

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FORM PTO 1449 (modified)		ATTY DOCKET NO. PD-970636A	APPLICATION NO. 10/010,521			
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT DOUGLAS M. DILLON, ET AL.				
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		FILING DATE December 6, 2001	GROUP 2153			
Submitted:						
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.T.	6,411,616 B1	6/25/02	Donahue, et al.	370	352	—
	6,249,530 B1	6/19/01	Blanco, et al.	370	468	—
	6,292,465 B1	9/18/01	Vaid, et al.	370	230	—
	6,154,776	11/28/00	Martin	709	226	—
	6,038,216	3/14/00	Packer	370	231	—
	6,160,797	12/12/00	Robert, III, et al.	370	316	—
✓	6,222,856 B1	4/24/01	Krishnan, et al.	370	468	—
FOREIGN PATENT DOCUMENTS.						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
RECEIVED AUG 21 2002 Technology Center 2100						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)						
N.T.	"NetGuard Unveils NetGuardian 2.0 for Win NT, OS/2 Users 01/06/97", Dennis, Sylvia, Newsbytes, Jan. 6, 1997.					
	"Guardian offers a sophisticated, PC-based firewall!", InfoWorld, July 29, 1996.					
	"NetGuard ships Guardian version 2.0; Enhanced Firewall product provides unique real time Internet management functionality and customizable report information", Business Wire, Oct. 16, 1996.					
✓	"Fall Internet World '96 Exhibit Previews -- Part Three of Five", Business Wire, December 3, 1996.					
EXAMINER	<i>Nghia Tran</i>		DATE CONSIDERED <i>06-03-05</i>			

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LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)			FILING DATE December 6, 2001		GROUP 2143		
Date Submitted to PTO: December 30, 2003							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
N.T.		6,578,077 B1	6/10/03	Rakoshitz, et al.	709	224	—
		6,502,131 B1	12/31/02	Vaid, et al.	709	224	—
		6,473,793 B1	10/29/02	Dillon, et al.	709	223	—
		6,285,658 B1	9/04/01	Packer	370	230	—
	↓	6,046,980	4/04/00	Packer	370	230	—
RECEIVED JAN 06 2004 Technology Center 2100							
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
N.T.		WO 99/34544	7/08/99	PCT			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER	Nagi Tm		DATE CONSIDERED	06.09.05			

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